

## BERESKIN &amp; PARR

BOX 401, 40 KING STREET WEST, TORONTO, CANADA M5H 3Y2  
PHONE (416) 364-7311 • FAX (416) 361-1398 • WWW.BERESKINPARR.COMPlease type a plus sign (+) inside this box → ☐PTO/SB/05 (4/98)  
Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 5562-769/PMdC

First Inventor or Application Identifier Conrad et al.

Title Method and Apparatus for Concentrating a Gas...

Express Mail Label No.

APPLICATION ELEMENTS  
See MPEP chapter 600 concerning utility patent application contents.ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- 1.
- ☐
- \* Fee Transmittal Form (e.g., PTO/SB/17)
- 
- (Submit an original and a duplicate for fee processing)

- 2.
- ☒
- Specification [Total Pages 29]
- 
- (preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Micro fiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

- 3.
- ☒
- Drawing(s) (35 U.S.C. 113) [Total Sheets 4]

4. Oath or Declaration [Total Pages 4]

- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

**\* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY  
FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT  
IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).**

- 5. ☐ Microfiche Computer Program (Appendix)
- 6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- 7. ☐ Assignment Papers (cover sheet & document(s))
- 8. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of  
(when there is an assignee) Attorney
- 9. ☐ English Translation Document (if applicable)
- 10. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
- 11. ☐ Preliminary Amendment
- 12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
- 13. ☐ \* Small Entity ☐ Statement filed in prior application,  
Statement(s) Status still proper and desired  
(PTO/SB/09-12)
- 14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- 15. ☐ Other: .....

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment.

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_\_  
Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

Name Bereskin &amp; Parr

Address Box 401  
40 King Street West

City Toronto

State

Ontario

Zip Code

M5H 3Y2

Country Canada

Telephone

(416) 364-7311

Fax

(416) 361-1398

Name (Print/Type) Philip C. Mendes Da Costa

Registration No. (Attorney/Agent)

33.106

Signature

Date

Mar/15/99

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Office, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



**Title: METHOD AND APPARATUS FOR CONCENTRATING A GAS USING A SINGLE STAGE ADSORPTION ZONE**

5 This application is a continuation-in-part application of application no. 09/240,618, filed on February 1, 1999.

**FIELD OF THE INVENTION**

10 This invention relates to a method and apparatus using a single absorption zone for producing an enriched stream of a first gas from a stream containing the first gas and at least one second gas. In one embodiment, the method and apparatus may be used to obtain a concentrated stream of oxygen from air.

**BACKGROUND OF THE INVENTION**

15 Various different methods have been developed for separating gases and producing a concentrated stream of a selected gas. One particular method which has been used in industry is the pressure swing adsorption process. Generally, these processes use an adsorbent which, under elevated pressure conditions, preferentially  
20 adsorbs a targeted gas over other gases present in a gas stream. Accordingly, the adsorbent could be selected to preferentially adsorb an undesirable gas from a gas stream thereby leaving a gas stream having an increased concentration of the gasses remaining in the gas stream. An example of such a process would be the use of a  
25 pressure swing adsorption process to produce an oxygen enriched air stream. The adsorbent would be selected to preferentially adsorb nitrogen over oxygen. Thus, after the adsorption process is conducted, the pressurized air in contact with the adsorbent contains a higher percentage by volume of oxygen. This oxygen  
30 enriched air may then be vented from the adsorption zone and the adsorbent purged (at reduced pressure conditions) to remove the adsorbed nitrogen. Alternately, such a process may be used to preferentially adsorb a targeted gas (e.g. oxygen) thereby also producing an enriched stream of oxygen.

35 Various different processes have been designed to

utilize the selective absorption ability of zeolite. Examples of these include, Bansal (United States Patent Number 4,973,339), Stanford (United States Patent Number 4,869,733) and Haruna et al (United States Patent Number 4,661,125).

5           The process and apparatus of Bansal, Stanford and Haruna et al each utilize two adsorption zones. The use of two adsorption zones is undesirable as it unnecessarily complicates the apparatus since it requires additional valving and control means to cycle each adsorption bed through a pressurization cycle and a  
10       purging cycle. Further, this adds to the cost of the apparatus and decreases the reliability of the apparatus.

          Other disadvantages of existing designs is the requirement to use expensive valve control means. In particular, solenoids are frequently required to switch the adsorption zone  
15       from a pressurization mode to a purging mode. These controls are expensive and also prone to failure after extensive use.

          Further, existing designs utilize electronics (e.g. micro-processors) to control the cycling of the adsorption zone. This adds to the cost of the equipment and also requires an electrical power  
20       source to operate the process. Further, the electronic components may be damaged in harsh environments and this limits the applications of the some existing designs.

#### SUMMARY OF THE INVENTION

25           According to the instant invention, the pressurization of a member such as a storage container for a portion of the concentrated may be used to actuate the purging cycle when the storage container pressurizes to a desired level. Accordingly, an electronic controller is not required to time the process. Further, no  
30       gas sensors are required to determine when to actuate a particular part of the cycle of the adsorption zone.

A further advantage of the instant invention is that the pressure actuatable member may be drivingly linked to the purge valve. In this embodiment, simple actuation means may be used to move the purge valve to the open position so as to initiate the  
5 purge cycle. Accordingly, solenoids and other complicated switching apparatus are not required. Further, the pressure actuatable member may itself move (eg. by resiliently expanding or by itself moving such as if it is a piston) to contact the purge valve. Accordingly, no electrical power supply is required to initiate the purging cycle.

10 According to the instant invention there is provided a concentrator for obtaining an enriched stream of a first fluid from a stream containing the first fluid and at least one second fluid, the concentrator comprising:

15 (a) a pressurizable adsorption zone having an inlet port for introducing the fluid stream to the adsorption zone, the pressurizable adsorption zone operable to produce the enriched stream;

(b) a pressurizable container for receiving at least a portion of the enriched fluid stream;

20 (c) a passageway extending between the pressurizable adsorption zone and the pressurizable container;

(d) an enriched fluid outlet for delivering at least a portion of the enriched fluid stream downstream of the concentrator;

25 (e) a purge valve in flow communication with the pressurizable adsorption zone and moveable between a closed position and an open position in which the pressurizable adsorption zone is purged during a purging cycle; and,

30 (f) a mechanical displaceable member operably associated with the purge valve to move the purge

5 valve from its closed position to its open position, the displaceable member being in flow communication with the pressurizable container and moveable outwardly between a charging position in which the pressurizable container is being pressurized and an actuating position in which the purge valve is in its closed position upon the pressurizable container reaching a preset pressure.

10 The displaceable member may be biased to the charging position (i.e. the position during which the pressurizable adsorption zone is pressurized to produce the enriched stream and the pressurizable container receives enriched fluid to commence the pressure build up that will cause the displaceable member to commence moving). For example, the displaceable member may be  
15 a rigid member (eg. a piston) which moves outwardly from the pressurizable container along a pathway (eg. the bore in which the piston travels) from the charging position to the actuating position. A spring, a resilient member or other biasing means may be provided to bias the rigid member to charging position. Alternately,  
20 the displaceable member may itself be the biasing member, such as if the displaceable member is a resilient member (eg. an elastomeric diaphragm). The resilient member may alternately form part of a wall of the pressurizable container.

25 In one embodiment, the resilient member contacts the purge valve when in the actuating position. For example, the purge valve may be spaced from and positioned outwardly from the displaceable member so as to be contacted by the displaceable member as the displaceable member moves to the actuating position. Alternately, the contactor may also comprise a linking  
30 member having a first portion and a second portion (eg a pivotally mounted member having first and second arms positioned on

apposed sides of the pivot point). Thus, the second portion may be operatively connected to the purge valve and the resilient member may contact the first portion when in the actuating position. The mechanical linkage may be another form of lever, a cam member or  
5 the like.

In another embodiment, the purge valve is spaced from and positioned outwardly (and preferably directly outwardly) from the displaceable member and is contacted by the displaceable member as the displaceable member moves to the actuating  
10 position.

The purge valve is preferably a mechanical valve and more preferably is a pressure actuated mechanically valve (such as a spring loaded check valve).

In accordance with the instant invention, there is also  
15 provided a pressure swing adsorption apparatus for producing an enriched stream of a first fluid from a stream containing the first fluid and at least one second fluid, the apparatus comprising:

- (a) means for reversibly adsorbing the at least one second fluid to produce the enriched stream;
- 20 (b) pressurizable storage means for receiving at least a portion of the enriched stream;
- (c) outlet means in flow communication with the storage means for venting at least a portion of the enriched stream from the apparatus;
- 25 (d) purging means for removing at least a portion of the at least one second fluid from the means for reversibly adsorbing the at least one second fluid during a purging cycle; and,
- (e) actuating means operably associated with the  
30 purging means for actuating the purging cycle upon the pressurizable storage means reaching a preset pressure.

In accordance with the instant invention, there is also provided a method for producing an enriched fluid having an increased concentration of a first fluid from a stream containing the first fluid and at least one second fluid comprising the steps of:

- 5 (a) the step of introducing the stream into a vessel containing a member for adsorbing the at least one second fluid;
- (b) the step of pressurizing the vessel for a time sufficient for the member to adsorb at least a portion of
- 10 the second fluid to produce the enriched fluid;
- (c) the step of cyclically passing the enriched fluid to a pressurizable container to pressurize the pressurizable container; and,
- (d) the step of driving a member by the pressure in the
- 15 pressurizable container to commence a purging cycle of the vessel when the pressurizable container reaches a preset pressure.

In one embodiment, the member mechanically drives an actuator of a purge valve to commence the purging cycle and the

20 method further comprises automatically commencing the purging cycle when the pressurizable container reaches a preset pressure.

In another embodiment, the member is biased to a first position and mechanically drives an actuator of a purge valve to commence the purging cycle when in a second position and the

25 method further comprises moving the member to contact the actuator due to the pressure increase in the pressurizable container.

In another embodiment, the member comprises a resilient member and the method further comprises the step of deforming the resilient member by the pressure in the pressurizable

30 container to commence the purging cycle.

In accordance with the instant invention, there is also



provided a method for producing an enriched fluid having an increased concentration of a first fluid from a stream containing the first fluid and at least one second fluid comprising:

- 5 (a) introducing the stream into a vessel containing an adsorbent for adsorbing the at least one second fluid;
- (b) pressurizing the vessel for a time sufficient for the adsorbent to adsorb at least a portion of the second fluid to produce the enriched fluid and venting enriched fluid from the vessel; and,
- 10 (c) using the enriched fluid vented from the vessel to pressurizing an member to commence a purge cycle of the adsorbent.

In one embodiment, the member mechanically drives an actuator of a purge valve to commence the purging cycle and the  
15 method further comprises automatically commencing the purge cycle when the pressure of the enriched fluid moves the member by a preset amount.

In another embodiment, the member is biased to a first position and mechanically drives an actuator of a purge valve to  
20 commence the purging cycle when in a second position and the method further comprises moving the member to contact the actuator due to the pressure of the enriched fluid.

In another embodiment, the member comprises a resilient member and the method further comprises the step of  
25 deforming the resilient member by the pressure of the enriched fluid to commence the purging cycle.

It will be appreciated that, according to the instant invention, a concentrator, and in a preferred embodiment an oxygen concentrator, may be designed wherein a source of  
30 pressurized gas (eg. air) which is fed to the adsorption zone is the driving source of the entire apparatus. Accordingly, the resultant

device, which uses only an external motive force, may be manufactured as a lightweight reliable unit.

In accordance with the instant invention, the apparatus may be designed to trigger the end of the purging cycle and thereby  
5 commence the pressurization or charging or adsorption cycle as the member contracts to a pre-determined position. This position may be pre-determined based upon the volume of the adsorption zone and the time required to complete the purging cycle as well as the flow rate of enriched gas from the contactor.

10 In accordance with the instant invention, the apparatus may be designed to trigger the end of the adsorption cycle (i.e. the end of the pressurization of the vessel wherein enriched air is vented from the vessel), the beginning of the venting cycle (i.e. the beginning of venting enriched air from the vessel), the end of the  
15 venting cycle (i.e. the end of the step of venting enriched air from the vessel), the beginning of the purge cycle (i.e. wherein purge air is introduced to the vessel to purge the vessel) and the end of the purge cycle (i.e. wherein the flow of purge air to the vessel is terminated and the adsorption cycle recommences) using essentially  
20 only the pressure of the stream fed to the vessel.

Further, the apparatus is energy efficient since the timing of these cycles is based upon the actual completion of a cycle (i.e. the expansion or contraction of a pressure displaceable member) as opposed to a electronic timing means which would initiate a cycle  
25 regardless of the concentration of the enriched gas exiting the apparatus.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

30 These and other advantages of the instant invention will be more fully and particularly understood in connection with the following description of a preferred embodiment of the

invention in which:

Figure 1 is a view illustrating in diagrammatic form a single stage concentrator according to the instant invention.

Figure 2 is an alternate embodiment of the concentrator of Figure 1.

Figure 3 is a second alternate embodiment of the concentrator of Figure 1; and,

Figure 4 is a third alternate embodiment of the concentrator of Figure 1.

#### **DESCRIPTION OF PREFERRED EMBODIMENT**

Concentrator 10 comprises inlet passage 12, outlet passage 14, adsorption zone 16 and a container 18. Passageway 20 extends between adsorption zone 16 and container 18.

Adsorption zone 16 may be of any particular construction which is known in the art for pressure swing adsorption apparatus. As shown in Figure 3, the adsorption zone 16 may comprise a vessel distinct from container 18 or it may be contained in the same housing as container 18 (see Figures 1, 2 and 4). Adsorption zone 16 is subjected to an increased pressure during which a selected fluid is adsorbed into adsorption media provided in adsorption zone 16 leaving a fluid having an increased concentration of the remaining (unabsorbed) fluids in adsorption zone 16. It will be appreciated that adsorption zone 16 may comprise a bed containing the adsorbent material through which the fluid flows as it passes through adsorption zone 16. The adsorbent may be discrete particles which are positioned between porous material 17 which functions to retain the adsorbent in adsorption zone 16.

The fluid may be a liquid or a gas. If the fluid is a liquid, then concentrator 10 may be used, for example, to selectively remove an impurity from a liquid stream (eg. the fluid selectively

adsorbed into carbon) such as water or a pesticide. The concentrator may also be used for pressure swing fractional distillation.

In a preferred embodiment, the fluid is a gas and, more preferably, the concentrator is an oxygen concentrator. The following description is based upon the use of concentrator 10 as an oxygen concentrator; however, the concentrator may be used for other pressure swing operations of fluids.

If concentrator 10 is an oxygen concentrator, then the feed gas which is introduced into adsorption zone 16 via inlet passage 12 comprises an oxygen containing gas and, more preferably, air. The adsorbent material in adsorption zone 16 accordingly comprises a material which selectively adsorbs nitrogen (the largest constituent of air) thereby leaving air containing an enriched level of oxygen in adsorption zone 16. Such adsorbent material are known in the art. Examples of such material are zeolites and, in a particularly preferred embodiment, the adsorbent is clinoptilolite.

It will be appreciated that, in an alternate embodiment, the desired product may be the fluid adsorbed onto the adsorbent media. In such a case, the gas produced during the purge cycle could be fed to a container or other apparatus as may be desired.

The remaining part of this description of the preferred embodiment is premised upon concentrator 10 including an adsorbent to remove nitrogen from air thereby producing a stream of oxygen enriched air. It is to be understood that the feed gas stream fed to adsorption stream 16 may comprise at least any two gases and the adsorbent material may be selected to adsorb the one or more of such gases leaving a gas stream having an enhanced concentration of the remainder of such gases.

Adsorption zone 16 operates under pressure. Accordingly, means is provided to raise adsorption zone 16 to the desired pressure. In the preferred embodiment, inlet passage 12 is

connected in flow communication to pump 19 which feeds pressurized air (eg. at a pressure of 3 to 30 psig, preferably from 5 to 15 psig) to inlet passage 12. It will be appreciated that, in an alternate embodiment, a compressor or other means may be provided as part of apparatus 10 to feed an air stream into adsorption zone 16 and to pressurize adsorption zone 16 to the required pressure. The exact pressure which is required for the adsorption media to adsorb the targeted gas, and the length of the adsorption cycle, will depend on the thermodynamics of the adsorption media.

In order to pressurize adsorption zone 16, adsorption zone 16 is capable of being sealed so that it will be pressurized, e.g. via the gas entering through inlet passage 12. In Figure 1, apparatus 10 is provided with a bulkhead 22 having a check valve 24 provided therein. Further, passageway 20 is in flow communication with passageway 26 which is in flow communication with purge valve 28. When valves 24 and 28 are in the closed position, as shown in Figure 1, adsorption zone 16 is sealed allowing the adsorbent positioned therein to selectively adsorb nitrogen.

Valve 24 may be any member which is moveable between a first position in which adsorption zone 16 is isolated from container 18 and an open position in which adsorption zone 16 and container 18 are in flow communication. Further, valve 24 may be positioned at any point between adsorption zone 16 and container 18. For example, in the embodiment shown in Figure 3, adsorption zone 16 and container 18 are in flow communication via passageway 20. Valve 24 may be positioned at any point between first and second ends 30 and 32 of passageway 20.

While adsorption zone 16 is undergoing the adsorption portion of the cycle, adsorption zone 16 is sealed sufficiently such that adsorption zone 16 will be raised to the required pressure. It will be appreciated that valve 24 may allow

some gas to exit therethrough so as to provide a more continuous flow of gas through outlet passage 14. Preferably, no gas flow out of adsorption zone 16 is permitted during this part of the method. Accordingly purge valve 28 is preferably in the fully closed position shown in Figure 1 and valve 24 is preferably in the fully closed position shown in Figure 1. Adsorption zone 16 is thus isolated so as to allow pressure to build up therein. Due to the inflow of air through inlet passage 12, pressure will build up in adsorption zone 16 and nitrogen will be adsorbed in the adsorbent media.

Based upon the volume of adsorption zone 16, the adsorption characteristics of the adsorbent in adsorption zone 16 and the rate of air input into adsorption zone 16, the length of time required to achieve the desired concentration of oxygen in the free gas in adsorption zone 16 may be calculated. Further, a person skilled in the art will be able to determine the pressure at which this desired oxygen concentration will be achieved.

Any valve mechanism (either mechanically or electrically operated) may be used for valve 24. Preferably, valve 24 is a pressure actuated member which will open to bring adsorption zone 16 into flow communication with container 18 when adsorption zone 16 reaches the pressure at which the required oxygen concentration will have been achieved (eg. a check valve). One advantage of this approach is that valve 24 may open, and the adsorption cycle therefore terminate, when a desired preset pressure (which may be determined from the desired oxygen concentration of the enriched gas) is achieved. Thus no sensors are required to monitor the progress of the adsorption cycle. The adsorption cycle automatically terminates when the requisite pressure is reached. Preferably, valve 24 is a mechanical member (eg. valve member 34) which is biased (eg. by an elastomeric member, a spring 36 or the like) to the closed position and which will open when the pressure

upstream thereof (i.e. in adsorption 16 and in passageway 20) reaches a preset pressure (which may be the pressure at which the desired concentration of oxygen is achieved in the free gas in adsorption zone 16).

5                   When valve 24 is in the open position, oxygen enriched air will pass from adsorption zone 16 through passageway 20 and into container 18. Outlet passage 14 is in flow communication with container 18. When valve 24 is in the open position, outlet passage 14 is also, indirectly, in flow communication  
10                   with adsorption zone 16.

                  In a preferred embodiment, outlet passage 14 has an aperture 38 which is open at all times when concentrator 10 is in operation. Aperture 38 is preferable of a pre-set opening size so as to provide a generally continuous flow of oxygen enriched air through  
15                   outlet passage 14 while oxygen enriched air is flowing into container 18. To this end, outlet passage 14 preferably has a reduced flow rate of gas therethrough than the flow rate of air into adsorption container 18 via valve 24. This may be achieved by providing flow restrictors 40 to create aperture 38. It will be appreciated that  
20                   decreasing the size of passageway 14 may achieve a similar result.

                  It will also be appreciated that, if desired, aperture 38 may have a variable opening size so as to vary the flow rate of oxygen enriched air through outlet passage 14. Further, aperture 38 may be operable so as to seal outlet passage 14 (or alternately a valve  
25                   to close outlet passage 14 may be provided). This may be desirable if, for example there is back pressure from downstream equipment.

                  The flow rate of oxygen enriched gas from outlet passage 14 is less than the flow rate of oxygen enriched gas into container 18 via valve 24. Accordingly, the pressure in container 18  
30                   will build up due, for example, to the size of aperture 38 which is created by flow restrictors 40.

Passageway 26 is selectively connectable in flow communication with a low pressure zone (eg. the atmosphere) via purge valve 28. As shown in Figure 1, purge valve 28 is positioned between the downstream end of passageway 26 and header space 42.

5 Space 42 may be vented to the atmosphere via outlet passageway 44. Accordingly, when purge valve 28 is in the open position, gas may flow through passageway 26, through purge valve 28, through header space 42, through passageway 44 to the atmosphere.

As shown in Figure 3, passageway 20 is in flow communication via check valve 24 with container 18 and outlet passage 14 (which is provided external to container 18). When a sufficient pressure builds up in adsorption zone 16, check valve 24 opens permitting oxygen enriched gas to pass through passageway 20 into container 18 and, simultaneously, out of outlet passageway 14 via, for example, aperture 38. In this case, purge valve 28 is provided in the housing of adsorption zone 16. In this embodiment, when purge valve 28 is open, pressurized air will pass from adsorption zone 16 through purge valve 28 to, for example, the atmosphere thus reducing the pressure in adsorption zone 16 and causing check valve 24 to move to the closed position.

Referring to Figure 1, container 18 has a pressure displaceable member 46 associated therewith. Pressure displaceable member 46 may be any member which moves outwardly relative to the interior of container 18 as pressure increases in container 18. For example, as shown in Figure 1, pressure displaceable member 46 may be a resilient member (e.g. an elastomeric diaphragm) which expands outwardly (as represented by the dotted outline shown in Figure 1) as the pressure increases in container 18. When sufficient pressure has built up in container 18, displaceable member 46 deforms to expand to a position such that actuator 48 (which is provided as part of displaceable member 46) contacts actuator 50 of



During the purge cycle, pressurized air is preferably introduced into adsorption zone 16 via pump 19. As purge valve 28 is open, air will pass from adsorption zone 16 through passageways 20 and 26 to exit the contactor via purge valve 28 and passageway 44. This will reduce the pressure exerted on valve member 34 of valve 24 thus closing valve 24 and sealing container 18 from flow communication with adsorption zone 16. Container 18 may continue to depressurize by providing oxygen enriched air to passageway 14 via aperture 38. As the pressure in container 18 decreases, deformable member 16 returns to its depressurized state (shown in solid line in Figure 1) thus permitting valve 28 to move to the closed position. Once purge valve 28 is closed, the pressurization cycle of adsorption zone 16 may recommence. It will be appreciated that if a two way valve is used for valve 24, some of the gas in container 18 may flow back into adsorption zone 16 to assist in its purge cycle.

25 and the low pressure of the purge cycle of 5 to 15 psig and, preferably  
10 psig.

repeatedly as container 18 is cyclically pressurized. As such, a resilient diaphragm may be affixed to the upper surface of bulk head

52 above opening 54. Alternately, bulk head 52 may itself be a deformable member which expands and contracts with the pressurization and depressurization of container 18. It will be appreciated that pressure displaceable member may operate via other modes. For example, it may be a piston or other movable member mounted for movement between a first position in which the top of the movable member is spaced from actuator 50 (i.e. when container 18 is at a reduced pressure) and a second position in which the top of the movable member has displaced actuator 50 so as to open purge valve 28 (i.e. when container 18 is at an elevated pressure).

Accordingly, it will be understood that one advantage of the instant invention is that the purge cycle may be commenced automatically upon pressure displaceable member 46 being displaced a sufficient amount to cause actuator 50 to actuate purge valve 28. Accordingly, no sensing equipment is required to monitor the oxygen content of the oxygen enriched air exiting valve 24 and a controller is not required to automatically commence the purging cycle when the oxygen content of the oxygen enriched gas exiting valve 24 decreases below a desired amount.

A further advantage of the instant invention is that the purge cycle is automatically completed when container 18 depressurizes by an amount such that displaceable member 18 withdraws towards container 18 by an amount sufficient to allow actuator 50 to move to the closed position.

It will be appreciated that the length of the cycle during which oxygen enriched gas is provided via outlet 14 may be adjusted via the gap between actuators 48 and 50 and the pressure required to move pressure displaceable member 46 so as to actuate purge valve 28. For example, the greater the amount of pressure required to move pressure displaceable member 46, the greater the amount of

time that oxygen enriched gas may be provided by outlet passage 14. Further, the greater the gap, the longer this time period may also be.

It will be appreciated that the orientation of pressure displaceable member 46 is not critical to the operation of contactor 10 provided that pressure displacement member 26 is biased to the closed position (e.g as shown in Figure 1). For example, the orientation of contactor 10 may be inverted such that bulk head 52 is the bottom surface of container 18 as pressure displaceable member 46 may be a resilient member which, due to its resiliency, will maintain itself in the closed position. If a piston or other movable member is utilized, the movable member may be biased to the closed position by, for example, a spring, a resilient member, or other means known in the biasing art. It will further be appreciated that a separate actuator element 48 need not be provided on pressure displacement member 46.

It will be appreciated from Figure 1 that pressure displaceable member 46 may be of any shape which will cause purge valve 28 to be actuated when pressure displaceable member 46 moves a preset distance. As shown in Figure 1, purge valve 28 is positioned immediately above pressure displaceable member 46. As shown in Figure 2, purge valve 28 is positioned above and to one side of pressure displaceable member 46. Accordingly, actuator 48 may be an arm member that extends at an angle so as to contact actuator 50 when pressure displaceable member 46 is sufficiently distended.

Referring to Figure 3, an alternate embodiment is shown in which container 18 is positioned below adsorption zone 16. In this embodiment, purge valve 28 is positioned above pressure displaceable member 46. During the purge cycle, pressurized air is passed via inlet passageway 12 through adsorption zone 16 and is vented into the atmosphere via purge valve 28.

Referring to Figure 4, a further alternate embodiment is shown. In this embodiment, purge valve 28 comprises a valve member 56 which sealing engages the distal end of passageway 26. Pressure displaceable member 46 is operably connected to valve member 56 via pivot member 58. Pivot member 58 has a first arm 60 and a second arm 62 positioned on opposed sides of pivot axle 64. The outward displacement of pressure displaceable member 46 causes first arm 60 to move upwardly as indicated by arrow A in Figure 4. Pivot member 58 accordingly pivots about pivot axle 64 causing second arm 62 to move in the direction indicated by arrow B in Figure 4 thus withdrawing valve member 56 from the distal end of passageway 26 thus permitting air to exit contactor 10. Pivot axle 64 may be mounted to, e.g. housing 66. Accordingly, pressure displaceable member 46 indirectly actuates purge valve 28.

By constructing a concentrator according to the instant invention, a repeatable cycle can be achieved using simple mechanical feedback (i.e. the interaction between purge valve 28 and pressure displaceable member 46). Pressure displaceable member 26 may be an expandable member (e.g. a resilient member) which will expand to actuate the purge valve due to a pressure increase in container 18. In an alternate embodiment, it may be a movable member such as a piston which moves outwardly from container 18 due to an increase in pressure in container 18. Pressure displaceable member 46 is biased to an at rest (depressurized) position by a biasing means which may be a spring or the inherent resiliency of a resilient diaphragm. Thus, by using simple mechanical linkages and movable or expandable elements, a concentrator having a simple rugged construction may be produced.

Another advantage of the instant invention is that the outward displacement of pressure displacement member 46 is used to time the purge cycle of adsorption zone 16. Accordingly,

electronic timers or concentration sensors are not required to provide input to a controller to determine when the purge cycle should be commenced or terminated.

60940 " F F 0200

**WE CLAIM**

1. A concentrator for obtaining an enriched stream of a  
5 first fluid from a stream containing the first fluid and at least one  
second fluid, the concentrator comprising:
- 10 (a) a pressurizable adsorption zone having an inlet port  
for introducing the fluid stream to the adsorption zone,  
the pressurizable adsorption zone operable to produce  
the enriched stream;
  - (b) a pressurizable container for receiving at least a  
portion of the enriched fluid stream;
  - (c) a passageway extending between the pressurizable  
15 adsorption zone and the pressurizable container;
  - (d) an enriched fluid outlet for delivering at least a  
portion of the enriched fluid stream downstream of the  
concentrator;
  - (e) a purge valve in flow communication with the  
20 pressurizable adsorption zone and moveable between a  
closed position and an open position in which the  
pressurizable adsorption zone is purged during a  
purging cycle; and
  - (f) a mechanical displaceable member operably  
25 associated with the purge valve to move the purge  
valve from its closed position to its open position, the  
displaceable member being in flow communication  
with the pressurizable container and moveable  
30 outwardly between a charging position in which the  
pressurizable container is being pressurized and an  
actuating position in which the purge valve is in its  
closed position upon the pressurizable container

reaching a preset pressure.

2. The concentrator as claimed in claim 1 the stream is at an elevated pressure when introduced to the pressurizable  
5 adsorption zone and the elevated pressure of the fluid stream provides essentially the only motive force to operate the concentrator.

3. The concentrator as claimed in claim 1 wherein the  
10 displaceable member is biased to the charging position.

4. The concentrator as claimed in claim 3 wherein the displaceable member is a rigid member which moves outwardly from the pressurizable container along a pathway from the charging  
15 position to the actuating position.

5. The concentrator as claimed in claim 3 wherein the displaceable member is a resilient member.

20 6. The concentrator as claimed in claim 5 wherein the resilient member forms part of a wall of the pressurizable container.

7. The concentrator as claimed in claim 5 wherein the resilient member contacts the purge valve when in the actuating  
25 position.

8. The concentrator as claimed in claim 5 wherein the purge valve is spaced from and positioned outwardly from the displaceable member and is contacted by the displaceable member as  
30 the displaceable member moves to the actuating position.

9. The concentrator as claimed in claim 5 further comprising a linking member having a first portion and a second portion, the second portion operatively connected to the purge valve the resilient member contacting the first portion when in the actuating position.

10. The concentrator as claimed in claim 1 wherein the purge valve is spaced from and positioned outwardly from the displaceable member and is contacted by the displaceable member as the displaceable member moves to the actuating position.

11. The concentrator as claimed in claim 1 wherein the purge valve is spaced from and positioned directly outwardly from the displaceable member and is contacted by the displaceable member as the displaceable member moves to the actuating position.

12. The concentrator as claimed in claim 1 wherein the purge valve is a mechanical valve.

13. The concentrator as claimed in claim 1 wherein the fluid comprises air, the first fluid is oxygen and the at least one second fluid comprises nitrogen.

14. A concentrator for obtaining an enriched stream of a first fluid from a stream containing the first fluid and at least one second fluid, the concentrator comprising:

(a) a pressurizable adsorption zone having an inlet port for introducing the fluid stream to the adsorption zone, the pressurizable adsorption zone operable to produce the enriched stream;



(b) a feed valve in flow communication with the inlet port and moveable between a closed position and an open position in which pressurized fluid is fed to the pressurizable adsorption zone;

5 (c) a pressurizable container for receiving at least a portion of the enriched fluid stream;

(d) a venting valve in flow communication with the pressurizable container and moveable between a closed position and an open position in which enriched fluid is vented from the pressurizable adsorption zone;

10 (e) an enriched fluid outlet for delivering at least a portion of the enriched fluid stream downstream of the concentrator;

(f) a purge valve in flow communication with the pressurizable adsorption zone and moveable between a closed position and an open position in which the pressurizable adsorption zone is purged during a purging cycle

15 wherein the elevated pressure of the fluid stream provides essentially the only motive force to operate the concentrator.

20 15. The concentrator as claimed in claim 14 wherein each of the feed valve, the venting valve and the purge valve is operable to move between its open and closed positions in response to pressure differences upstream and downstream of the respective valve.

25 16. A pressure swing adsorption apparatus for producing an enriched stream of a first fluid from a stream containing the first fluid and at least one second fluid, the apparatus comprising:

30 (a) means for reversibly adsorbing the at least one

second fluid to produce the enriched stream;

(b) pressurizable storage means for receiving at least a portion of the enriched stream;

5 (c) outlet means in flow communication with the storage means for venting at least a portion of the enriched stream from the apparatus;

(d) purging means for removing at least a portion of the at least one second fluid from the means for reversibly adsorbing the at least one second fluid during a purging cycle; and,

10 (e) actuating means operably associated with the purging means for actuating the purging cycle upon the pressurizable storage means reaching a preset pressure.

15 17. The apparatus as claimed in claim 16 wherein the actuating means is a mechanical means.

18. The apparatus as claimed in claim 17 wherein the actuating means is moveable between a charging position in which the pressurizable storage means is pressurized and an actuating position in which the purge cycle is actuated and the actuating means is biased to the charging position.

19. The apparatus as claimed in claim 18 wherein the actuating means is a rigid member which moves outwardly from the pressurizable storage means along a pathway from the charging position to the actuating position.

20. The apparatus as claimed in claim 18 wherein the actuating means is a resilient means.

21. The apparatus as claimed in claim 20 wherein the resilient means forms part of a wall of the pressurizable storage means.

5 22. The apparatus as claimed in claim 20 wherein the purging means has a purge actuator and the resilient means contacts the actuator when in the actuating position.

10 23. The apparatus as claimed in claim 22 wherein the purge actuator is spaced from and positioned outwardly from the resilient means and is contacted by the resilient means as the resilient means moves to the actuating position.

15 24. The apparatus as claimed in claim 20 further comprising mechanical linking means having a first portion and a second portion, the second portion operatively connected to the purging means, the resilient means contacting the first portion when in the actuating position.

20 25. The apparatus as claimed in claim 20 wherein the purging means is actuated when the resilient means expands by a set amount.

25 26. The apparatus as claimed in claim 16 wherein the purging means is spaced from and positioned outwardly from the actuating means and is contacted by the actuating means as the actuating means moves to the actuating position.

30 27. The apparatus as claimed in claim 16 wherein the purging means is spaced from and positioned directly outwardly from the actuating means and is contacted by the actuating means as

the actuating means moves to the actuating position.

28. The apparatus as claimed in claim 16 wherein the purging means is a pressure actuated valve.

5

29. The apparatus as claimed in claim 16 wherein the fluid comprises air, the first fluid is oxygen and the at least one second fluid comprises nitrogen.

10 30. A method for producing an enriched fluid having an increased concentration of a first fluid from a stream containing the first fluid and at least one second fluid comprising the steps of:

15 (a) the step of introducing the stream into a vessel containing a member for adsorbing the at least one second fluid;

(b) the step of pressurizing the vessel for a time sufficient for the member to adsorb at least a portion of the second fluid to produce the enriched fluid;

20 (c) the step of cyclically passing the enriched fluid to a pressurizable container to pressurize the pressurizable container; and,

25 (d) the step of driving a member by the pressure in the pressurizable container to commence a purging cycle of the vessel when the pressurizable container reaches a preset pressure.

31. The method as claimed in claim 30 wherein the member mechanically drives an actuator of a purge valve to commence the purging cycle and the method further comprises  
30 automatically commencing the purging cycle when the pressurizable container reaches a preset pressure.

32. The method as claimed in claim 30 wherein the member is biased to a first position and mechanically drives an actuator of a purge valve to commence the purging cycle when in a second position and the method further comprises moving the member to contact the actuator due to the pressure increase in the pressurizable container.

33. The method as claimed in claim 30 wherein the member comprises a resilient member and the method further comprises the step of deforming the resilient member by the pressure in the pressurizable container to commence the purging cycle.

34. The method as claimed in claim 30 wherein the stream is at an elevated pressure and the method further comprises essentially only using the pressure of the stream to pressurize the vessel, to cyclically pass the enriched fluid to the pressurizable container and to commence the purging cycle.

35. A method for producing an enriched fluid having an increased concentration of a first fluid from a stream containing the first fluid and at least one second fluid comprising:

- (a) introducing the stream into a vessel containing an adsorbent for adsorbing the at least one second fluid;
- (b) pressurizing the vessel for a time sufficient for the adsorbent to adsorb at least a portion of the second fluid to produce the enriched fluid and venting enriched fluid from the vessel; and,
- (c) using the enriched fluid vented from the vessel to pressurizing an member to commence a purge cycle of

the adsorbent.

36. The method as claimed in claim 35 wherein the member mechanically drives an actuator of a purge valve to  
5 commence the purging cycle and the method further comprises automatically commencing the purge cycle when the pressure of the enriched fluid moves the member by a preset amount.

37. The method as claimed in claim 35 wherein the  
10 member is biased to a first position and mechanically drives an actuator of a purge valve to commence the purging cycle when in a second position and the method further comprises moving the member to contact the actuator due to the pressure of the enriched fluid.

15 38. The method as claimed in claim 35 wherein the member comprises a resilient member and the method further comprises the step of deforming the resilient member by the pressure of the enriched fluid to commence the purging cycle.

20 39. The method as claimed in claim 35 wherein the stream is at an elevated pressure and the method further comprises using essentially only the pressure of the stream to pressurize the vessel, to vent enriched fluid from the vessel and to commence the  
25 purging cycle.

### ABSTRACT OF THE DISCLOSURE

A method and apparatus for operating a pressure swing  
5 adsorption process having an adsorption zone is disclosed that may  
utilize only a single adsorption stage. The purge cycle is  
automatically commenced by a pressure build up in the enriched  
fluid vented from an adsorption zone.

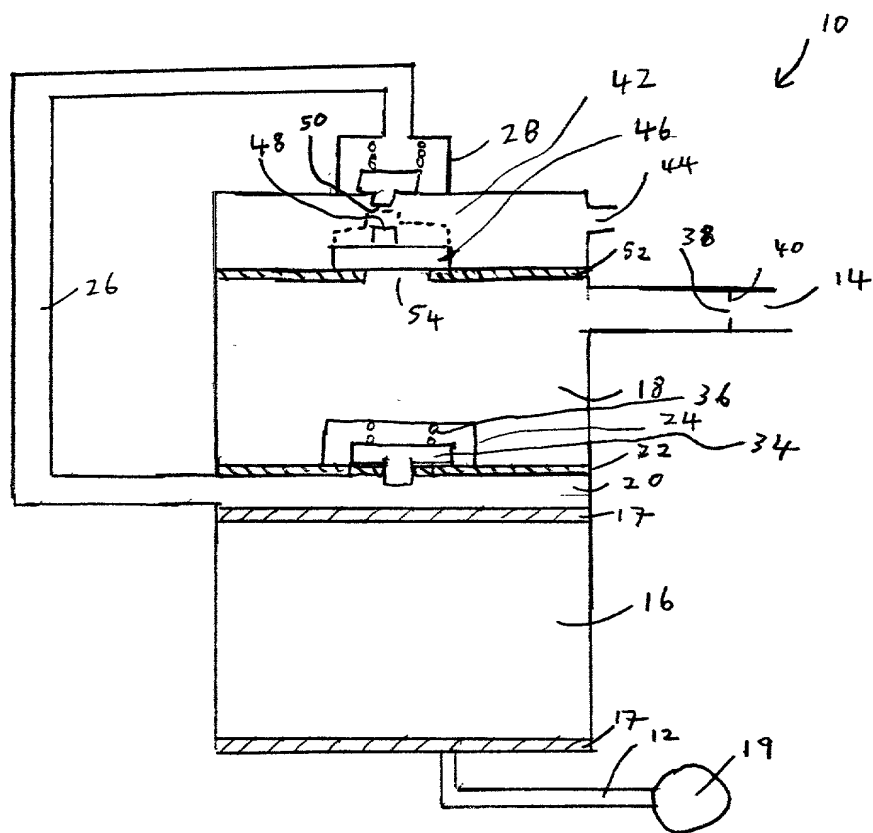


Figure 1



10

28

42

50

44

48

46

52

54

26

40

14

38

18

36

24

34

22

20

17

16

17

19

12

Figure 2

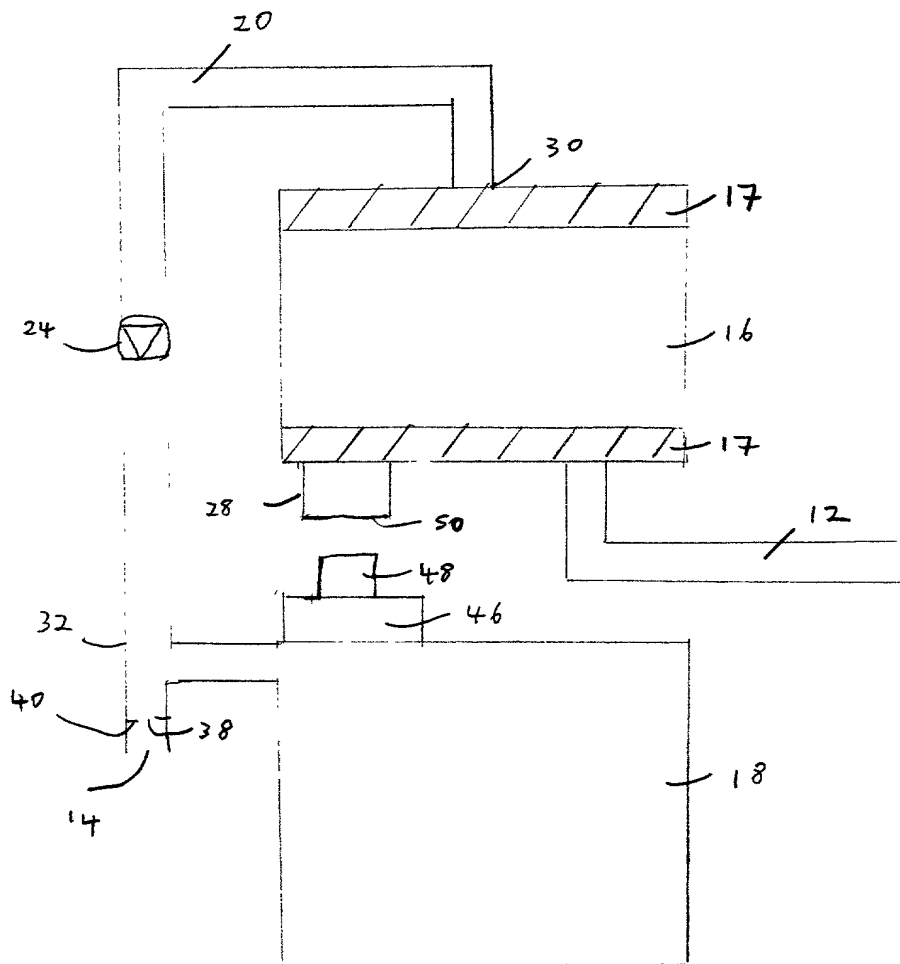


Figure 3

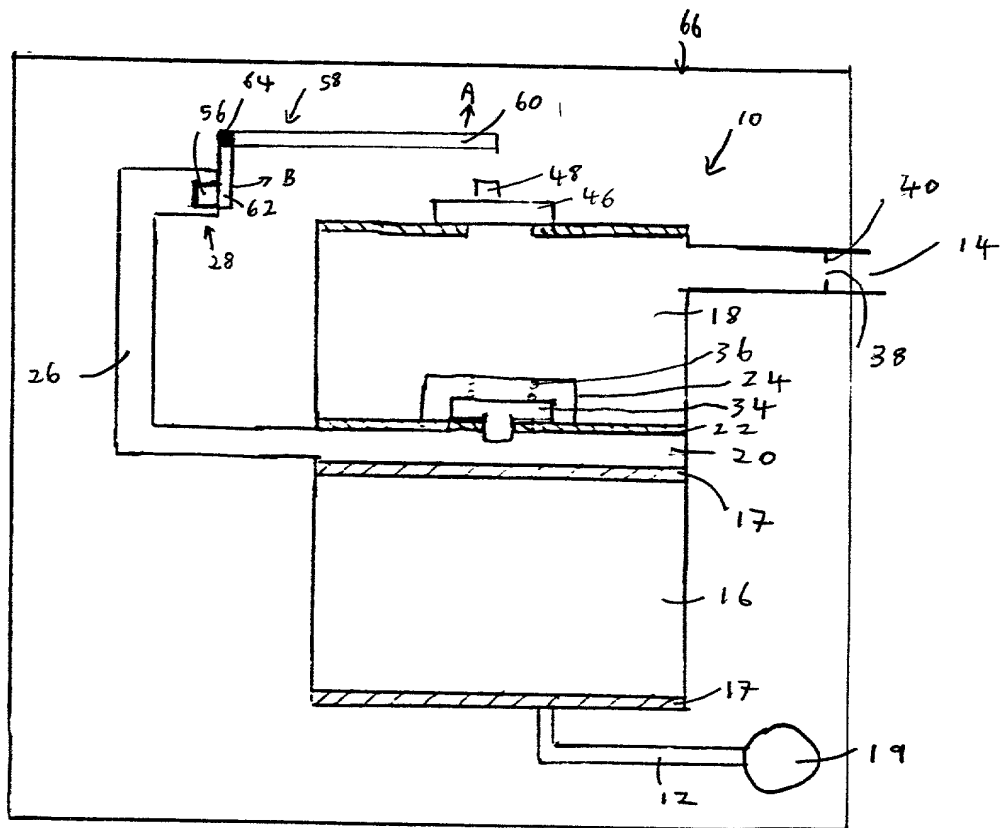


Figure 4

Please type a plus sign (+) inside this box → ☐

PTO/SB/01 (12-97)

Approved for use through 9/30/00. OMB 0651-0032

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)</b>  <input checked="" type="checkbox"/> Declaration Submitted with Initial Filing      OR <input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)	<b>Attorney Docket Number</b>	5562-769/PMdC
	<b>First Named Inventor</b>	Conrad et al
	<b>COMPLETE IF KNOWN</b>	
	<b>Application Number</b>	n/a
	<b>Filing Date</b>	n/a
	<b>Group Art Unit</b>	n/a
	<b>Examiner Name</b>	n/a

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**METHOD AND APPARATUS FOR CONCENTRATING A GAS USING A SINGLE STAGE ADSORPTION ZONE**

the specification of which *(Title of the Invention)*

☒ is attached hereto  
OR  
☐ was filed on (MM/DD/YYYY)  as United States Application Number or PCT International Application Number  and was amended on (MM/DD/YYYY)  (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	
		<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box →



PTO/SB/01 (12-97)  
Approved for use through 9/30/00 OMB 0651-0032

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

## DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
09/240,618	February 1, 1999	n/a

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Customer Number

OR

☒ Registered practitioner(s) name/registration number listed below

Place Customer  
Number Bar Code  
Label here

Name	Registration Number	Name	Registration Number
Daniel R. Bereskin	22,257	H. Samuel Frost	31,696
Richard J. Parr	22,836	Philip Mendes da Costa	33,106
H. Roger Hart	26,426	Robert B. Storey	33,108
David W.R. Langton	27,747	John R. Rudolph	38,003
C. Lloyd Sarginson	29,245	Robin L.A. Coster	38,016
Timothy J. Sinnott	31,083	Michael E. Charles	38,036

☒ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☐ Customer Number

or Bar Code Label

OR ☒ Correspondence address below

Name	Bereskin & Parr				
Address	Box 401				
Address	40 King Street West				
City	Toronto	State	Ontario	ZIP	M5H 3Y2
Country	Canada	Telephone	(416) 364-7311	Fax	(416) 361-1398

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle (if any))			Family Name or Surname				
WAYNE ERNEST			CONRAD				
Inventor's Signature					Date		
Residence: City	Hampton	State	Ontario	Country	Canada	Citizenship	Canadian
Post Office Address	27 King Street						
Post Office Address	as above						
City	Hampton	State	Ontario	ZIP	L0B 1J0	Country	Canada

☐ Additional inventors are being named on the \_\_\_\_\_ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

Please type a plus sign (+) inside this box → +

PTO/SB/02A (3-97)  
Approved for use through 9/30/98. OMB 0651-0032  
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

+

## DECLARATION

ADDITIONAL INVENTOR(S)  
Supplemental Sheet  
Page \_\_\_\_ of \_\_\_\_

<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
HELMUT GERHARD				CONRAD			
Inventor's Signature					Date		
Residence: City	Hampton	State	Ontario	Country	Canada	Citizenship	Canadian
Post Office Address	27 King Street						
Post Office Address	as above						
City	Hampton	State	Ontario	ZIP	L0B 1J0	Country	Canada
<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
TED				SZYLOWIEC			
Inventor's Signature					Date		
Residence: City	Hampton	State	Ontario	Country	Canada	Citizenship	Canadian
Post Office Address	27 King Street						
Post Office Address	as above						
City	Hampton	State	Ontario	ZIP	L0B 1J0	Country	Canada
<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Inventor's Signature					Date		
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		ZIP		Country	

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

+

